

Duration: 2 ½ hours

Marks: 75

Note: All questions are compulsory.

Figures given to the right indicates full marks.

- Q1. a. Choose the correct option & rewrite the statement. (Any Eight) (8)
- The merchant banker should register with \_\_\_\_\_.  
a. RBI b. SEBI c. ICICI d. SBI
  - A contract that gives its owner the right but not the obligation to buy any underlying asset is called \_\_\_\_\_.  
a. Swap b. Forward c. Derivatives d. Call option
  - Full- service factoring is often called \_\_\_\_\_.  
a. Recourse factoring b. Non Recourse factoring c. Agency factoring d. Financial System
  - Ownership of goods under hire purchase system agreement is transferred at the time of \_\_\_\_\_.  
a. Payment of down Payment b. Payment of Instalment  
c. Payment of last Instalment d. Initial Stage
  - "\_\_\_\_\_ is a long-term risk capital to finance high technology projects which involve risk but at the same time have strong potential for growth."  
a. hire purchaser b. hedge finance c. Merchant banker d. Venture capital
  - \_\_\_\_\_ is regarded as the fourth element of the financial system.  
a. Financial services b. Financial markets c. Financial instruments d. Financial institutions
  - The primary function of the stock exchange is to offer \_\_\_\_\_ to the existing securities  
a. Safety b. Securities c. Money Control d. Liquidity
  - A \_\_\_\_\_ is an instrument which is issued by the Central Government.  
a. Commercial Paper b. Treasury bills c. Option Bond d. Retirement Bond
  - Fund that invests in highly liquid securities like commercial paper is \_\_\_\_\_.  
a. Open ended fund b. Close ended fund  
c. Balanced fund d. Money Market Mutual Fund
  - \_\_\_\_\_ contracts are not at all standardized.  
a. Backward b. Future c. Forward d. Swap

b. Match the following (Any Seven)

(7)

Particulars	Services
1. Hire Purchase	a. Borrower
2. Forfeiting	b. Car Loan
3. Securitization	c. Export
4. Depository	d. Revolving credit
5. Pledgor	e. Risk management
6. Hypothecation	f. Dematerialisation
7. Financial Engineering	g. Buying on installment
8. Consumer Finance	h. Funds against Bills receivable
9. Loan Syndication	i. Special Purpose Vehicle
10. Factoring	j. FinTech products

Q2. a. Describe the scope of financial services.

(8)

b. Discuss the challenges faced by financial sector.

(7)

OR

c. Elaborate services of merchant bankers.

(8)

d. Explain SEBI guidelines framed for merchant bankers.

(7)

Q3. a. Discuss different rights available to mutual fund investors.

(8)

b. Describe the role of commercial banks in mutual funds industry.

(7)

OR

c. Elaborate the modus operandi of securitization.

(8)

d. Discuss the different types of Factoring.

(7)

Q4.a. What are the reasons for non-popularity of securitization of assets?

(8)

b. Explain how securitization differs from factoring.

(7)

OR

Q4.c. Briefly explain futures and options.

(8)

d. Explain features of forward contracts.

(7)

Q5.a. Explain the major housing finance agencies in India.

(8)

b. What are different types of consumer finance?

(7)

OR

Q5. Write short notes (any 3 out of 5)

(15)

a. Rights and obligation of depositories

b. Pledge

c. NHB

d. Eligibility criteria for depository

e. Sources of consumer finance